







# Annatto Tocotrienol & Geranylgeraniol

Ancient Phytonutrients for Modern Day Intervention

Barrie Tan, PhD

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Ancient Phytonutrients for Modern Day Intervention

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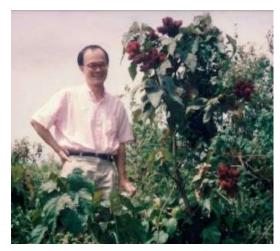
# CASI Disclosure & Preamble

- These are based on clinical studies, not medical recommendations
- Trials (18-20) on pure T3, Delta-T3, Annatto T3 were all done with ARN's DeltaGold®; the only company in US making T3
- A new day for GG; ARN is the first (and only) in the world to make Annatto GG as GG-Gold®
- Human dosages are provided throughout; if animal studies, a 70kg human translation is shown
- Most studies were done in the last 5 years.

## Roadmap

- Annatto History
- Vitamin E Background
- Tocotrienol (T3) Benefits
- Geranylgeraniol (GG) Background
- Geranylgeraniol Benefits
- Summary









# My Discovery Story

#### 1. Palm Tocotrienol

- **1980s** in Malaysia
- Visit to a palm plantation

#### 2. Rice Tocotrienol

- 1990s in Thailand
- Call from a Prince of Thailand

#### 3. Annatto Tocotrienol

- 2000s in Peru
- Search for giant marigolds

#### 4. Staying with Annatto T3 & GG

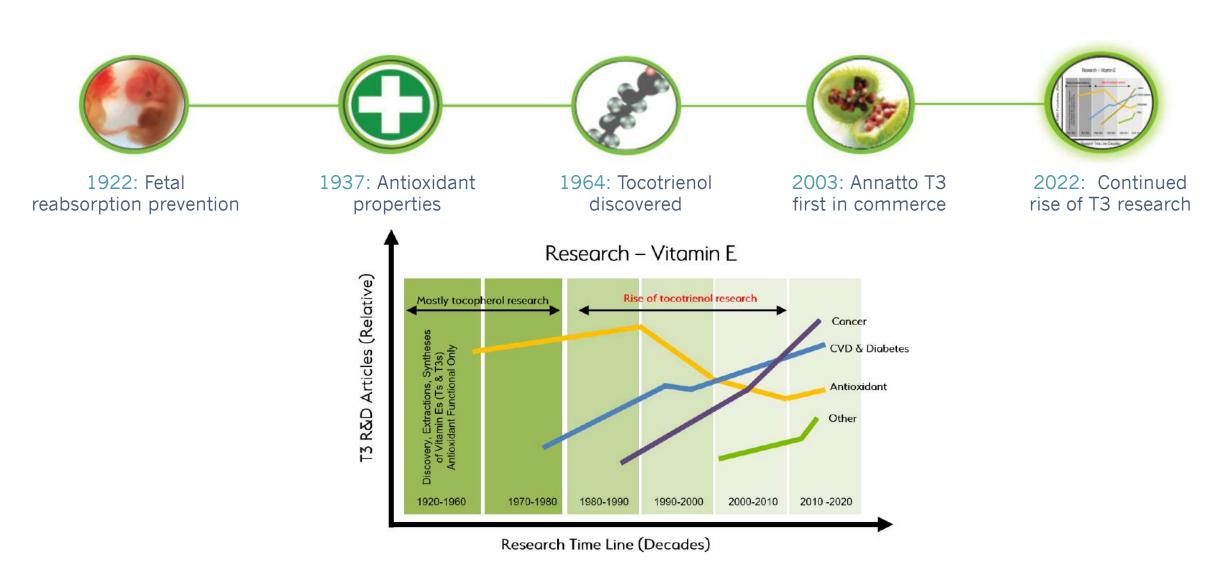
- **2010s** in USA
- Production and clinical trials

#### Annatto – "One Plant Wonder" from Amazonia

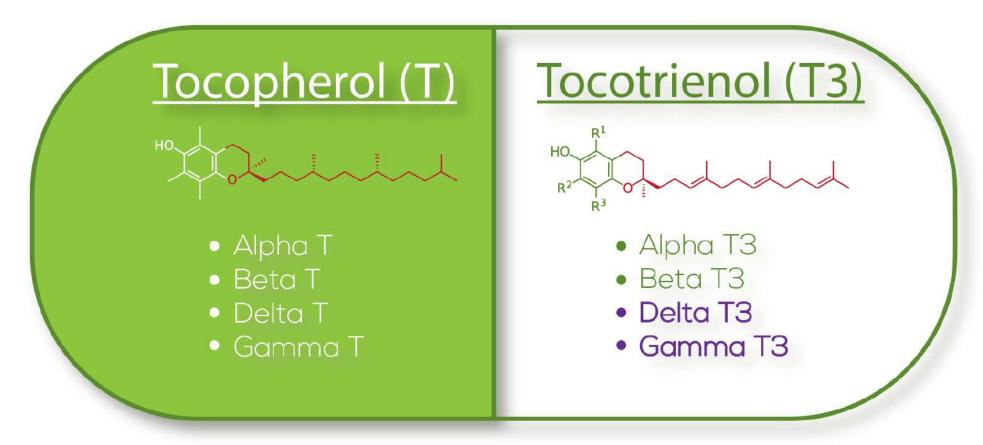
- Native to tropical Central & South America
- Ancient shrub of indigenous people
- Ingredient Responsibility:
  - Bixin (attractant)
  - Tocotrienol (protectant)
  - Terpenoids (repellant)



# Vitamin E: A Century of Research

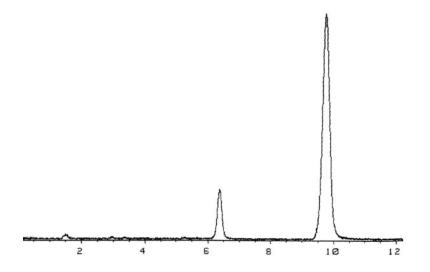


## Structure – 8 Distinct Vitamers

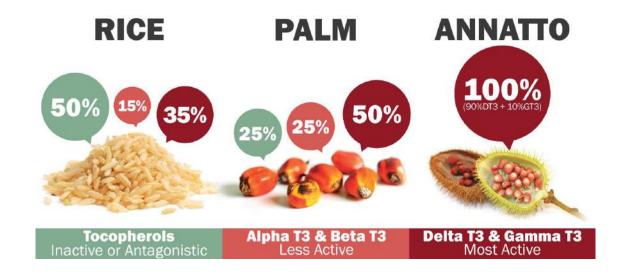


- Most supplements are filled with tocopherols
  - Annatto is uniquely tocotrienols

## Annatto Tocotrienol



- Plant-based
- Physical process
- Made in USA



- Only 3 sources (Rice, Palm, Annatto)
- Annatto is the most potent tocotrienol

# So why not Alpha-Tocopherol?

#### **Alpha-Tocopherol** Interferes with Tocotrienol

Inhibits absorption

Reduces adipose storage

Compromises cholesterol and triglyceride reduction

Attenuates cancer inhibition

**Exacerbates** stroke injury

#### **Alpha-Tocopherol** Problems in Physiological Functions

Oxidizes 'good' HDL and 'bad' LDL (50mg/d & 400mg/d)

Increases inflammation of TNF $_{\alpha}$  (13–40% $\uparrow$ ) & IL $_{6}$  (21–22% $\uparrow$ )

Raises mortality and cancers (breast, prostate, lung)

Blocks/Lowers chemo's effectiveness (400mg/d for 1 mth)

Increases cardiac risk in PMW (300mg/d for ~3yr)





# Recap

- Tocotrienol is a recent discovery
- Vitamin E = 4 tocotrienols and 4 tocopherols
- Annatto is the most potent source of tocotrienol
  - Alpha-tocopherol interferes with tocotrienol
    - Alpha-tocopherol is laden with land mines



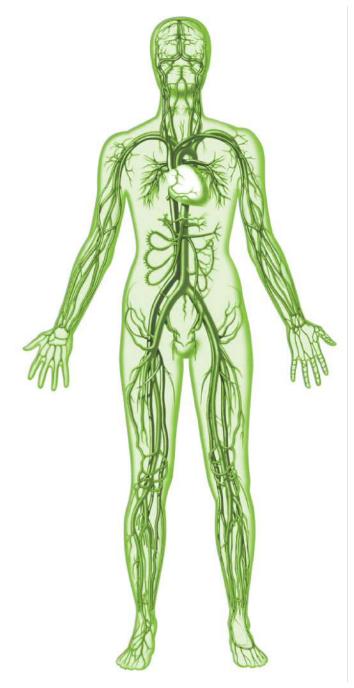
## Cardiovascular Disease (CVD)

Inflammation
Lipids & Oxidation
Atherosclerosis

# Annatto T3 in Preclinical Hypercholesterolemia Study

Lipid (mmol/L)	Control (2% chol. in feed)	T3 Treatment	% Change	Remarks
Cholesterol	31.5	22.8	27.6%↓	<ul> <li>T3 targets the down-regulation of HMGR enzyme</li> </ul>
LDL	27.7	19.5	29.6%↓	<ul> <li>Neat drop because of alpha-T absence*</li> </ul>
HDL	2.58	2.68	3.9%↑	HDL and TG trend well with MetS control
TG	1.61	1.41	12.4%↓	TDL and 1G trend well with Mets control
TG/HDL	0.624	0.526	15.7%↓	Predicts small dense LDL drop

- Animal dosage translates to 135 mg/d in a 70kg person
- Findings justify a clinical study to validate lipid findings



The human body contains

# 30,000 miles of arteries

1.2 x the circumference of the earth

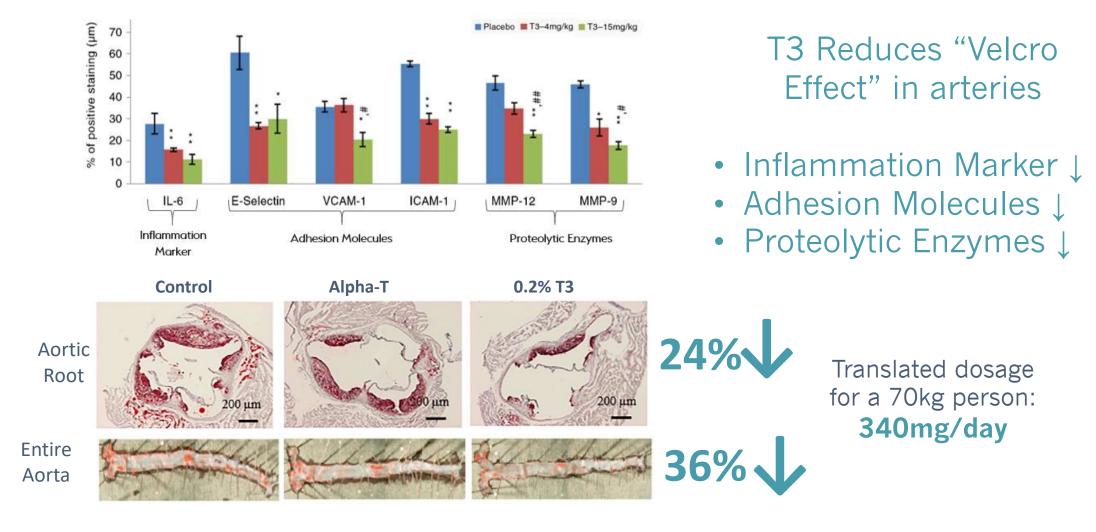
or

10 x the distance from NY to LA

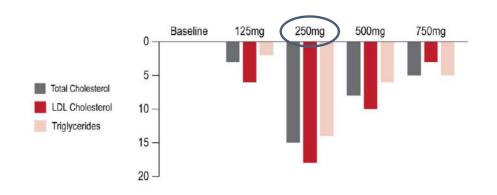
Arteries carry blood cells to import oxygen & nutrients and to export carbon dioxide & wastes to

38 trillion cells

### Tocotrienol Reduces Chemotaxis & Atherosclerosis



# Tocotrienol Reduces Cholesterol & Inflammation in Hypercholesterolemic Subjects

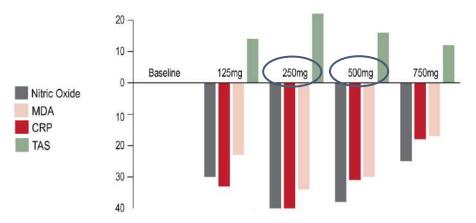


#### 250mg/d

TC: 15%↓

LDL: 18%↓

TG: 14%↓



#### 250mg/d & 500mg/d

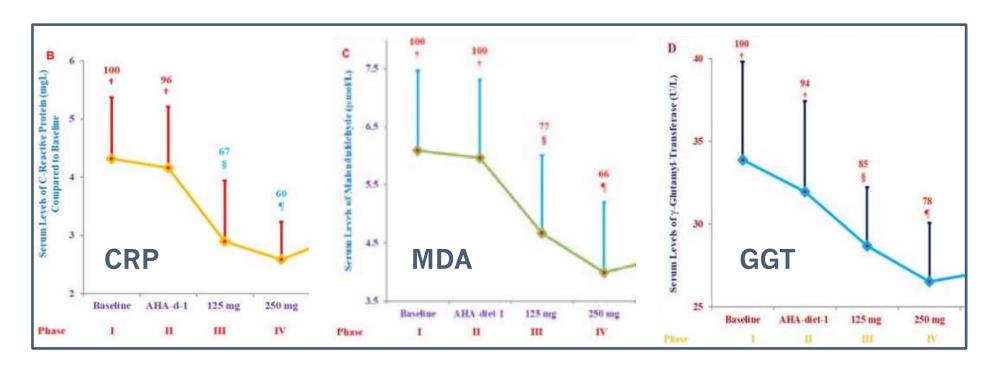
CRP: 40% ↓

MDA 34% ↓

TAS: 22%↑

Phase duration (4 wk) and washout

# Tocotrienol Improves Markers in Hypercholesterolemic Subjects



- Reduces inflammation (CRP↓)
- Reduces lipid oxidation (MDA<sub>↓</sub>)
- Improves liver and heart functions (GGT<sub>↓</sub>)



## Cardiometabolic Disease (CMD)

NAFLD Pre-Diabetes (MetS/IR) T2DM

#### Elevated Blood Pressure

Metabolic

**Syndrome** 

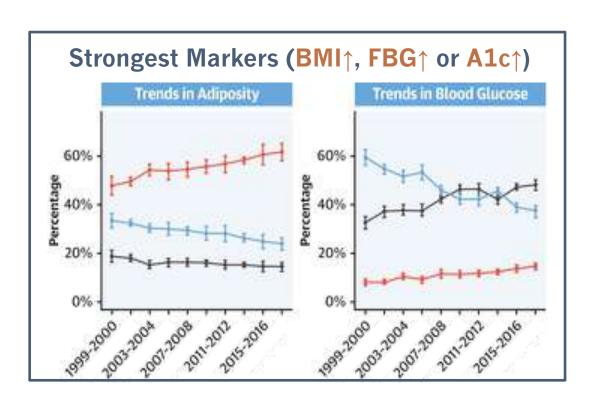
**Risk Factors** 

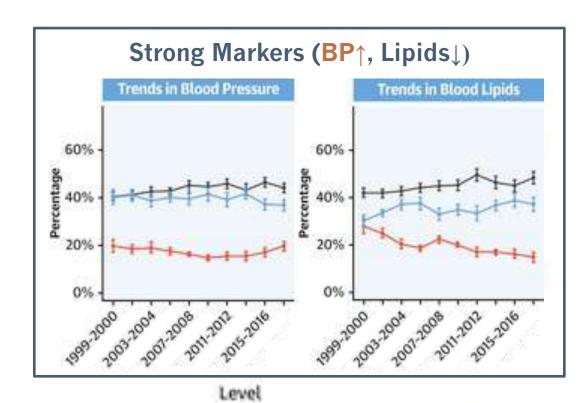
Elevated Triglycerides Elevated Waist Circumference

Reduced HDL-Cholesterol

Elevated Fasting Glucose

# CMD – A Population Code Blue





Intermediate — Optimal

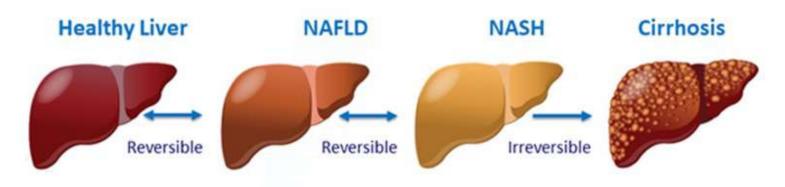
- 1-in-14 (<7%) adults have optimal CM health
- MetS↑ from 36% to 47%; highest in Mexican American (52%)
- Higher in older (>65yr) than younger (20-34) adults; 78% vs 23%

# Annatto Tocotrienol and Polyphenol Combo in Healthy Elderly

Marker	Before	After	% Change	Remarks
GGT (U/L)*	26	21	19%↓	Ox. stress/heart failure markers dropped
CRP (mg/L) <sup>1</sup>	2.1	1.4	33%↓	Inflammation marker reduced
TAS (mmol/L)	1.45	1.61	<b>11%</b> ↑	Endogenous antioxidant status improved

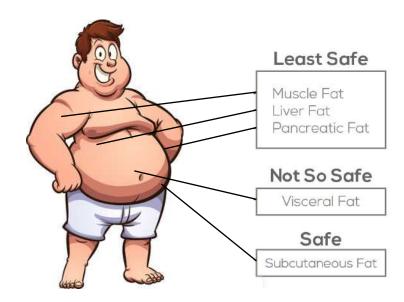
- Healthy men & women (60-67yo) for 4 weeks
- Combo (Tocotrienol 200mg/d, Quercetin 300mg/d and Resveratrol 100mg/d)

# Global NAFLD Prevalence is 20-30%



- 90-100m Americans have NAFLD (30% of adults)
- 20% transition to non-alcoholic steatohepatitis (NASH)
- Complications: Inflammation, Fibrosis, Infections, Cancer

#### Livers look like those of heavy drinkers but they are not



Das, S.L., et al., Newly diagnosed diabetes mellitus after acute pancreatitis: a systematic review and meta-analysis. Gut, 2013.

Gukovsky, I., et al., Inflammation, autophagy, and obesity: common features in the pathogenesis of pancreatitis and pancreatic cancer. Gastroenterology, 2013. 144(6): p. 1199-209 e4. Fiore, K. Losing Fatty Liver Cuts Diabetes Risk. MedPage Today 2013.

Le, M., Devaki, P., Ha, N., Jun, D., Te, H., Cheung, R. and Nguyen, M. (2017). Prevalence of non-alcoholic fatty liver disease and risk factors for advanced fibrosis and mortality in the United States. PLOS. Available from: https://journals.plos.org/plosone/article?id=10.1371/journal.pone.0173499.

Estes, C., Razavi, H., Loomba, R., Younossi, Z. and Sanyal, A. (2018). "Modeling the Epidemic of Nonalcoholic Fatty Liver Disease Demonstrates an Exponential Increase in Burden of Disease." Hepatology, 67(1).

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# Delta-Tocotrienol Improves Fatty Liver in NAFLD Patients

Three RDBPC trials in patients (80-100) on 300mg twice daily

	Study Parameters	3 mth*	6 mth+	12 mth <sup>^</sup>
	Weight Loss (lb)	10	15	11
Patient	BMI (kg/m²)	$30.7 \rightarrow 29.2$	$30.6 \rightarrow 28.3$	$32.8 \rightarrow 31.1$
	Waist Circumference (cm)	$100.2 \rightarrow 98.0$	$100.4 \rightarrow 97.5$	$106.5 \rightarrow 102.5$
	Triglycerides	9.9%↓	13% ↓	16%↓
	ALT & AST	15% - 16%↓	18% - 21%↓	29%↓
HP & Doctors —	ALP & GGT	8% - 17%↓	17%↓	37%↓
	hsCRP	18%↓	21%↓	38%↓
	MDA	14%↓	19%↓	13%↓
	FIB-4, NFS (fibrosis)		11.4%↓	20.1%↓
Specialists	CK18 (cirrhosis, liver cell death)		18.3%↓	19.8%↓
	FLI (steatosis)	11.1%↓	15%↓	17%↓
	HOMA-IR homeostatic model assessment of insulin resistance		15%↓	24%↓

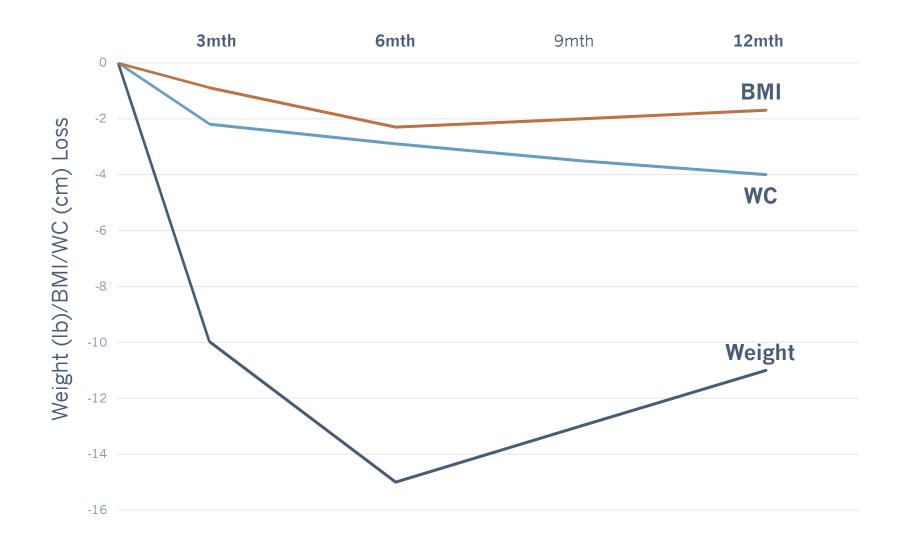
<sup>\*</sup>Pervez MA, Khan DA, Ijaz A, Khan S. Effects of Delta-tocotrienol Supplementation on Liver Enzymes, Inflammation, Oxidative stress and Hepatic Steatosis in Patients with Nonalcoholic Fatty Liver Disease. The Turkish journal of gastroenterology: the official journal of Turkish Society of Gastroenterology. 2018;29(2):170-6. Epub 2018/05/12.

<sup>+</sup> Pervez, Muhammad Amjad, et al. "Delta-Tocotrienol Supplementation Improves Biochemical Markers of Hepatocellular Injury and Steatosis in Patients with Nonalcoholic Fatty Liver Disease: A Randomized, Placebo-Controlled Trial." Complementary Therapies in Medicine, vol. 52, 2020, p. 102494.

doi:10.1016/j.ctim.2020.102494.

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## Delta-Tocotrienol Sustains Weight Loss in NAFLD Patients



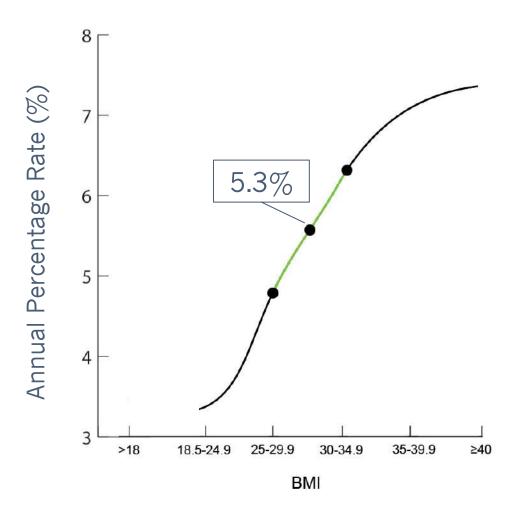
# Delta-Tocotrienol Improves Glycemic Control in Prediabetics

#### Clinical Trial

- RDBPC, 3mth, DeltaGold® 300mg/day
- 77 prediabetic patients (FPG 100-124mg/dl; A1c 5.7-6.4%)

	T3-Supplemented	Placebo
A1C	$6.04 \rightarrow 5.77\% \ (4.5\% \downarrow)$	5.99 → 6.04%( <b>0.8%</b> ↑)
FPG	4.3%↓	0.2%↓
Insulin	11.2%↓	3.0%↓
HOMA-IR	15.1%↓	3.7%↓

#### Delta-Tocotrienol Averts Pre-D-to-T2DM Conversion



- CDC says progression of A1c-confirmed PreD-to-T2DM is 5.3% in older adults
- US has 95m Pre-diabetics
- APR approximates BMI

Window of opportunity (green section)

Evidence of T3 in PreD-to-T2DM non-progression

# Non Reversion of MetS and Central Obesity

Combo (Delta-T3 500mg/d + Resveratrol 300mg/d) for 6mth on MetS patients

#### Primary Endpoints:

SBP/DBP  $(5.2\%/5.8\%\downarrow)$ 

WC  $\sigma/9$  (3.7%/4.6%)

Weight Loss (4.6% or 9.3lb↓)

TG (16%↓)

HDL  $\sigma/9$  (4.0%/5.1% $\uparrow$ )

#### Secondary Endpoints:

Inflammation (15-17%↓)

Adiponectin (11%↑)

VCAM (27%↓)

MDA  $(7.5\%\downarrow)$ 

TAS (23%↑)

#### Conclusions of (Delta-T3 + Resveratrol) Combo:

- Reversed MetS & Central Obesity (40%) and Metabolites (55%)
- Decreased Relative Risk of reversion to MetS & Central Obesity (both by 70%)
- Improved MetS of young adults (18-43yr)

## Delta-Tocotrienol Helps T2DM Patients

Randomized, double-blind, placebo-controlled trials 6mth study with 60-110 patients

Study Parameters	Results ( <b>250mg/d</b> )	Results ( <b>500mg/d</b> )
Glucose	6.8%↓	7%↓
HbA1c	6.3%↓	8%↓
Insulin	7.6%↓	9%↓
HOMA-IR	13.1%↓	14%↓
Triglycerides	10.3%↓	8%↓
hsCRP	10.1%↓	12%↓
IL-6	15.9%↓	9%↓
TNF-alpha	13.7%↓	14%↓
MDA	8.8%↓	11%↓



### **Bone Health**

Many Animal Studies One Clinical Trial

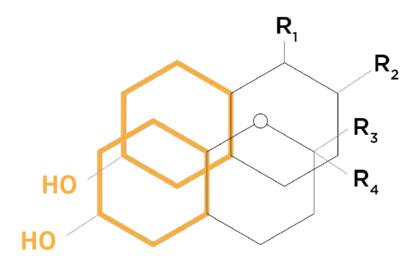
## Preclinical studies conclude that annatto tocotrienols...

- Ovariectomized model for women > 45 years
- Orchiectomized model for men > 65 years
- HFHC diet (MetS & OP) model both men & women
- Cigarette smoke & nicotine toxicant induced
- Chronic steroid medicines drug induced
- Fractured bone model healing process

# ... promote bone formation following excessive resorption.

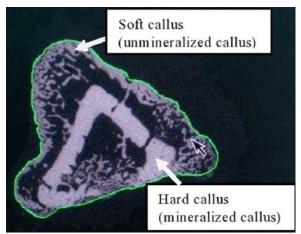
## Intro to Bone Health

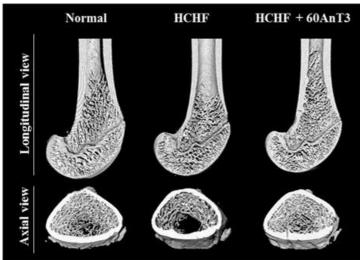
- Osteoblasts
  - Make up new bone
  - Produce collagen matrix [for structural framework]
- Osteoclasts
  - Break down old bone
  - Produce acids & enzymes [to dissolve minerals & protein]
- Menopause (45-55yo)
  - Estrogen↓ → Oxidative stress↑
- Oxidative Stress causes
  - Osteoblastic disruption → Formation↓
  - Osteoclastic differentiation → Resorption↑

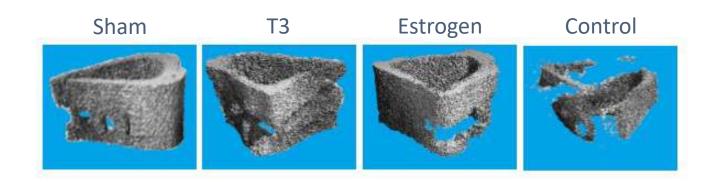


Superimposition of Estradiol & Tocotrienol Structures

### Tocotrienol Aids Mineralization in Fracture and MetS



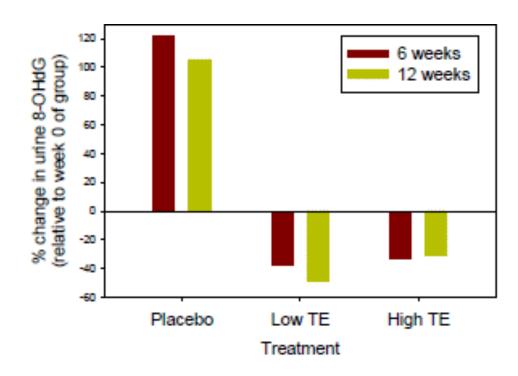




- Prevented fracture recurrence, increased callus stiffness
- Improved trabecular bone microstructure and bone strength
- Increased osteoblast surface
- Improved metabolic syndrome parameters
   70kg human equivalent dose: 680mg/day

## First Annatto Tocotrienol Bone Clinical Trial

- PMW in 3 treatments for 12 wks (placebo, 300mg/d and 600mg/d)
- Benefits to PMW at 300mg/d are:
  - Bone building (BALP/NTX) 115%↑
  - Bone resorption (sRANKL/OPG) 13%↓
  - Oxidative stress (8-OHdG) 49%↓



- No adverse effects on liver and kidney function or quality of life
- PMW obesity trial for 24 wks (placebo and 300mg/d T3)

# DeltaGold® Tocotrienol Summaries

- Annatto tocotrienol is the most potent anti-inflammatory vitamin E
- Decreases lipid oxidation and atherosclerosis in CVD
- Balances metabolites and reduces markers in CMD
- Mitigates steatosis and fibrosis in NAFLD/NASH; WC/BMI/Weight loss
- Prevents osteopenia and obesity
- Recommended Dosage (with meal)
  - Healthy: 100-300mg/day
  - Mild Chronic Condition: 300-400mg/day
  - Advanced Chronic Condition: 400-600mg/day





# Geranylgeraniol

Introduction Benefits Summary

### GG is a Building Block in Plant & Mammal

#### **Essential**:

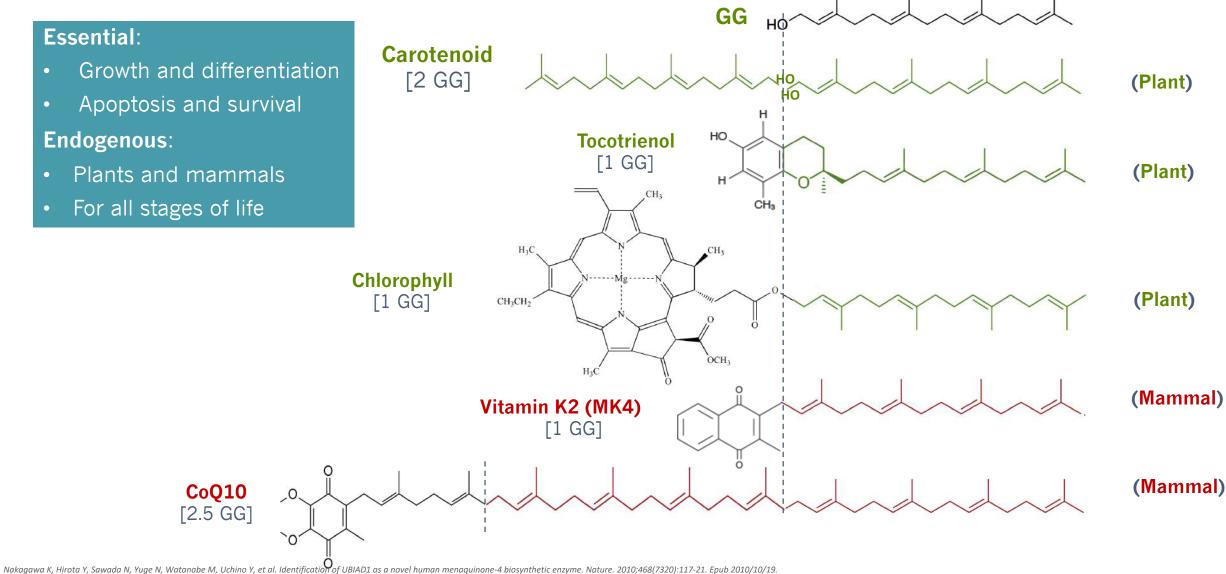
Growth and differentiation

CoQ10

Apoptosis and survival

#### **Endogenous:**

- Plants and mammals
- For all stages of life



[2.5 GG]

Hirota Y, Nakagawa K, Sawada N, Okuda N, Suhara Y, Uchino Y, et al. Functional characterization of the vitamin K2 biosynthetic enzyme UBIAD1. PloS one. 2015;10(4):e0125737. Epub 2015/04/16.

Huang H, Levin EJ, Liu S, Bai Y, Lockless SW, Zhou M. Structure of a membrane-embedded prenyltransferase homologous to UBIAD1. PLoS biology. 2014;12(7):e1001911. Epub 2014/07/23. For Practitioner Use Only. This content is not medical advice. These statements have not been evaluated by the Food and Drug Administration. This product is not intended to diagnose, treat, cure or prevent any disease.

Chlorophyll [1 GG]

[2 GG]

# Ubiquity and Bioavailability of GG

Vitamin K2 (MK4)

[1 GG]

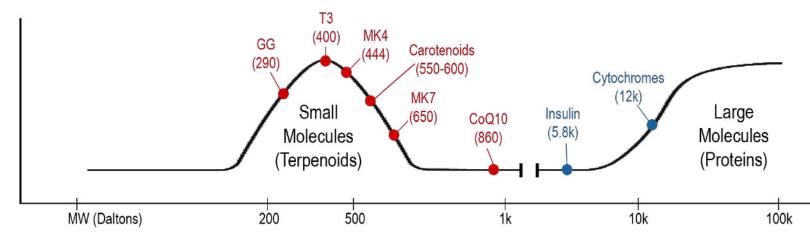
MK4 and CoQ10 are ubiquitous because GG is ubiquitous

#### CoQ10 (MW863)

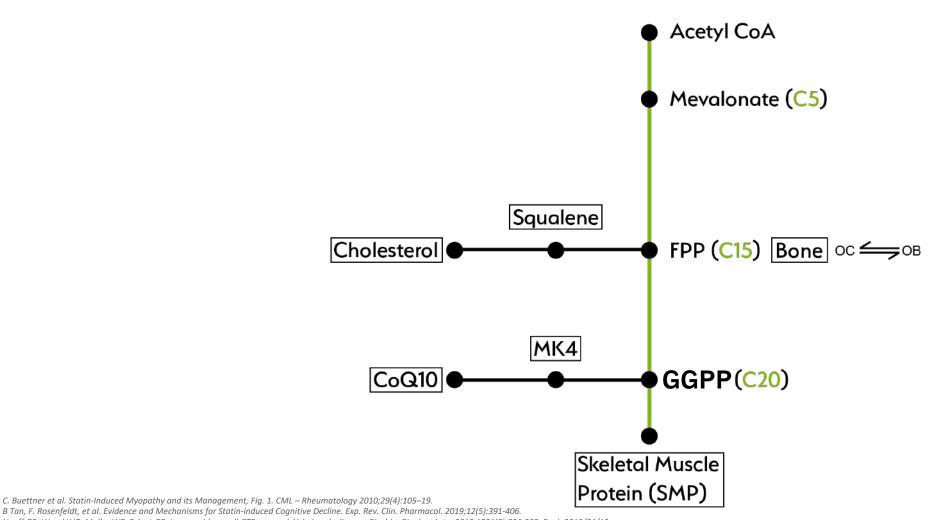
Difficult to absorb & get into membranes Largest of the "small molecules"

#### GG (MW290)

Easy to absorb into cellular membranes Smallest of the "small molecules"

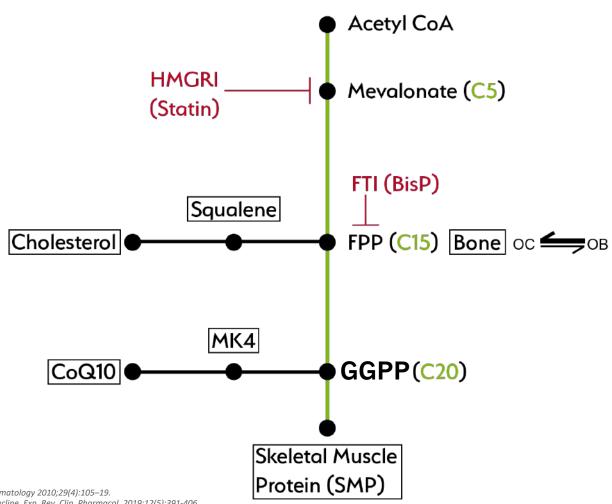


## GG is Central to Mevalonate Pathway



Hooff GP, Wood WG, Muller WE, Eckert GP. Isoprenoids, small GTPases and Alzheimer's disease. Biochim Biophys Acta. 2010;1801(8):896-905. Epub 2010/04/13.
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# GG is Easily Blocked in the Mevalonate Pathway

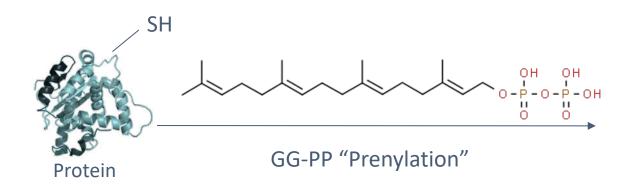




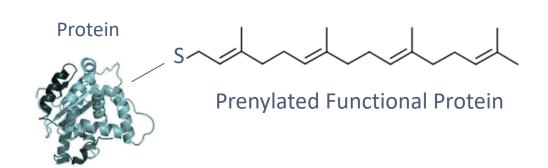
#### **GG** Benefits

Protein Synthesis
Testosterone, CoQ10 & MK4 Synthesis
Increase in Bone Strength
Mitigation of Drug Side Effects

# Protein Synthesis

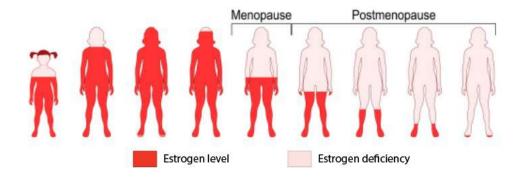


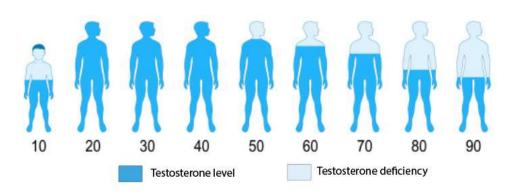
- Radiolabeled GG was found within protein fractions
- Clinical significance of protein synthesis:
  - Myopathy (drug-related); statins & bisphosphonates; typically >30yr old
  - Sarcopenia (age-related); 40yr and older



- Proteins with GG (1-2%) requirements:
  - Rho ATP/energy production
  - Rab Membrane/signaling protein (GG acts as anchor)
  - Rac Regulation of cell movement (cytoskeleton & muscle)

# Hormone Synthesis & GG



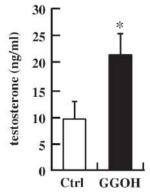


- Leopold Ruzicka: 1939 Nobel Prize for testosterone and GG synthesis
- GG stimulates steroid synthesis of both Testosterone and Progesterone‡
  - Mechanism of GG involvement yet unknown
  - Potentially due to GG-MK4 synthesis

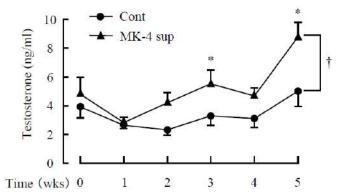
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# GG Stimulates Testosterone (T) Synthesis

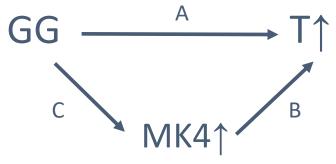
#### Human equivalent dose: 100mg/d



GG supplemented diet **enhances** plasma testosterone levels in male rats



MK4 supplemented diet **enhances** testosterone production in rat testis

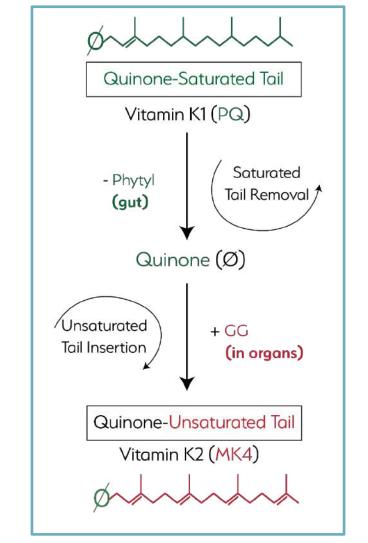


### GG Reduces Bone Resorption & Synthesizes Vitamin K2



The inhibitory effect of vitamin  $K_2$  on bone resorption may be related to its side chain (1995) and this GG  $\rightarrow$  MK4 was proven (2010-2013)

- GG  $\rightarrow$  vitamin K<sub>2</sub>; MK4 is an endogenous nutrient
- GG inhibited bone resorption similarly to vitamin K<sub>2</sub>
- Human equivalent dose = 160mg/d



Nakagawa K, et al. Identification of UBIAD1 as a novel human MK4 biosynthetic enzyme. Nature (Nov 4, 2010) 468, 117-121.

Hirota Y, et al. Menadione (Vitamin K3) is a catabolic product of oral phylloquinone (Vitamin K1) in the intestine and circulating precursor of tissue menaquinone4 (vitamin K2) Jbiol. Chem. (Nov 15,2013) 288, (46) 33071-33080.

Rajabi AA, et al. Deuterium-labeled phylloquinone has tissue-specific conversion menaquinone4 among Fischer 344 male rats. J Nutr. (March 21, 2012) 142, 841-845.

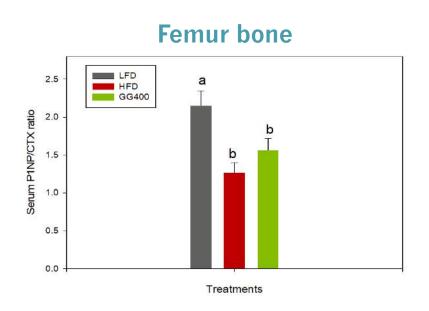
Hara K, Akiyama Y, Nakamura T, Murota S, Morita I. The inhibitory effect of vitamin K2 (menatetrenone) on bone resorption may be related to its side chain. Bone. 1995;16(2):179-84. Epub 1995/02/01.

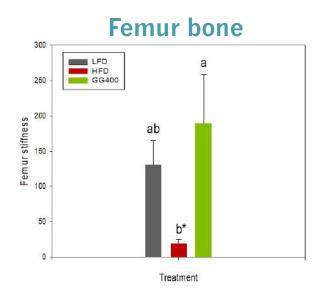
Harshman SG, Shea MK, Fu X, et al. Atorvastatin Decreases Renal Menaquinone-4 Formation in C578L/6 Male Mice. J Nutr. Mar 1 2019;149(3):416-421.

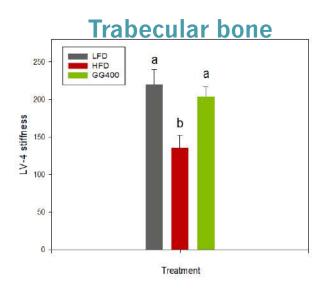
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### GG Improves Bone Turnover and Increases Bone Stiffness

T2DM mouse model (osteoporosis-inducing)

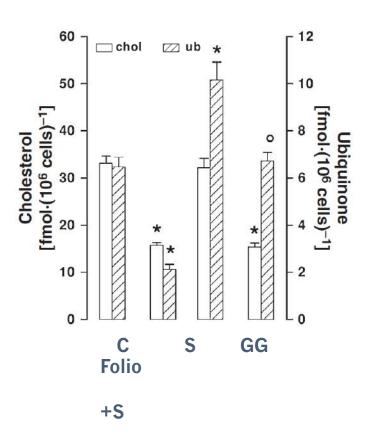






- GG protected bone microstructure and quality
- GG significantly improved bone turnover
- Human equivalent dose: 160mg/day

# GG Supports Respiration and Synthesizes CoQ10



- GG reversed statins' negative impact
  - Increased mitochondrial respiration
  - Restored ubiquinone synthesis
  - Continued cholesterol reduction

**GG** by itself increases CoQ10 synthesis

# GG Prevents Statin Myotoxicity

Satellite Cells

[Muscle Stem Cells]

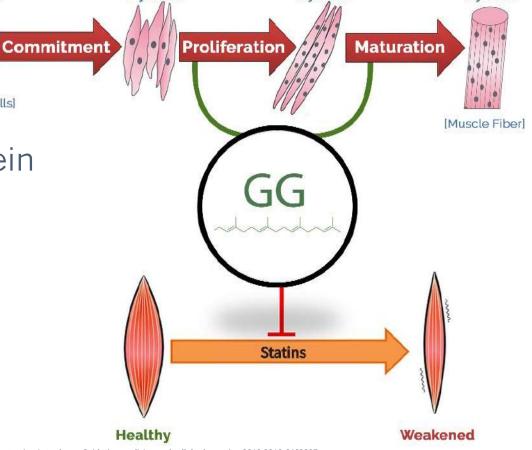
Myoblast

• Statin stopped a GG-step to make RAP protein

• GG stimulated RAP1 prenylation

GG reversed statin-associated cytotoxicity

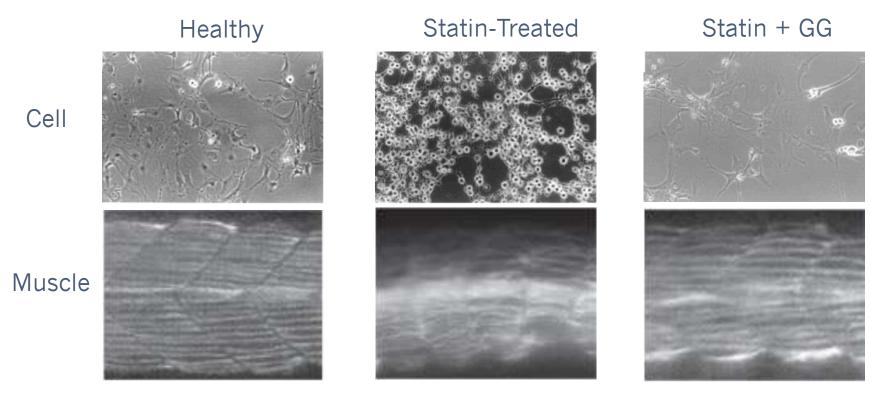
GG rescued detrimental effect on myotubes



Myotube

Myofiber

### GG Restores Cells and Muscle Fibers



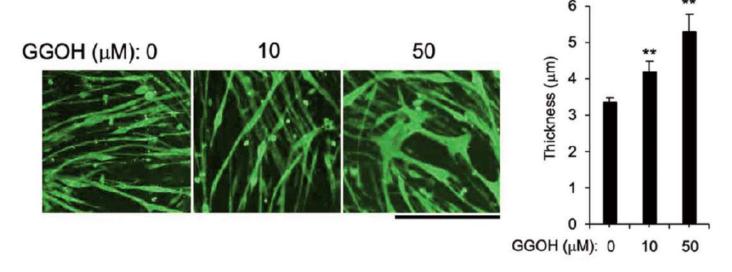
- Statin destroyed cell morphology, interrupted cytoskeleton synthesis
- Statin caused visible muscle damage
- GG reduced fiber damage in myotubes

### GG Increases Calf Muscle Force and Soleus Muscle

#### Young & Healthy Rats (Control, GG, Statin, GG+Statin)

- Increased shinbone muscle force, Reversed muscle fatigue
- Improved cardiac muscle contraction/relaxation
- Statin reduced force production, GG completely abrogated this effect
- GG increased soleus muscle (30%↑) in diabetic rats
- GG supplementation mitigated soleus muscle atrophy through
  - Increased damaged organelle clearance (mitophagy<sup>1</sup>)
  - Improved mitochondrial quality
- 70kg person: 170mg/d and 510mg/d of GG

### GG Regenerates Aging Atrophied Muscle



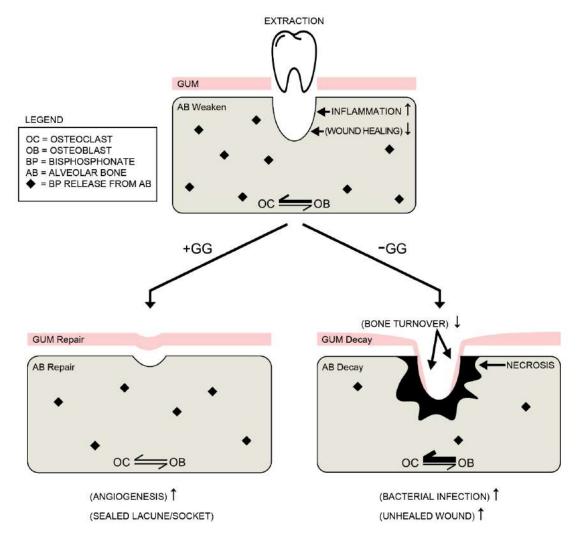
- GG suppressed Atrogin-1 induced muscle atrophy
- GG increased myotube thickness
- GG increased skeletal muscle fiber size

### Pleiotropic Statin Benefits Well Beyond a Cholesterol Reducer

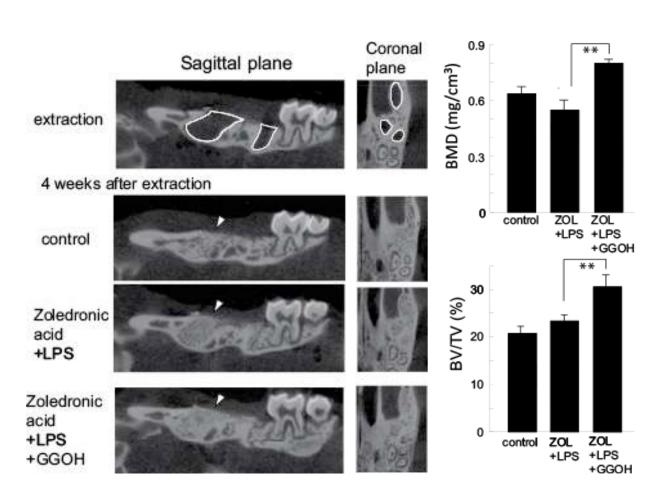
- 40m Statin users may reach 80m by 2030
- Statin is the 21<sup>st</sup> Century 'Aspirin'
- Statin will replace 52m acetaminophen users
- One-in-four patients have SAMS\*
- 20m Statin users may benefit from GG

#### \*Statin-Associated Muscle Symptoms

### BisP Impacts Alveolar Bone Turnover and Necrosis



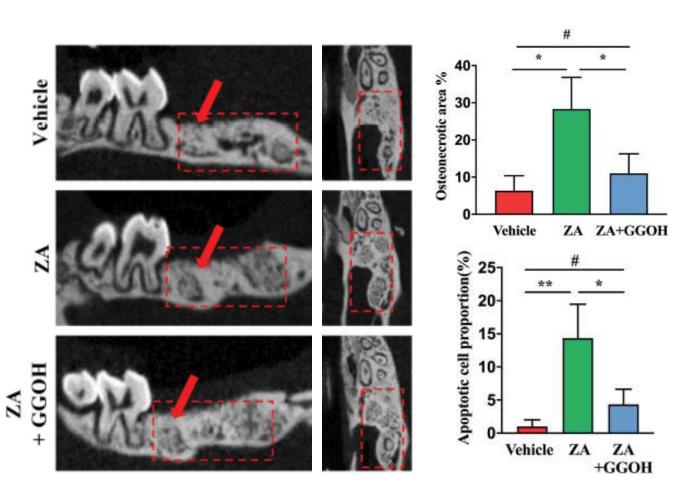
## GG Reduces BRONJ Damage (Systemic) [2015]





- BMD 47% ▲ and BV/TV 28% ▲
- GG improved bone in tooth sockets
- GG restored bone deposition

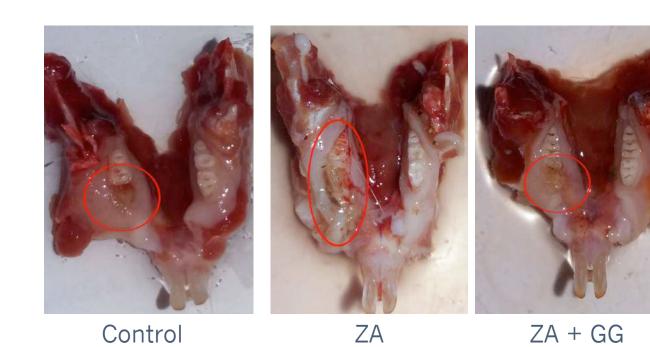
# GG Reduces BRONJ Damage (Systemic) [2021]





- BMD 30% ▲ and BV/TV 15% ▲
- GG prevented jaw necrosis (2.5x)
- GG prevented gum death (3.5x)

## GG Reduces BRONJ Damage (Local) [2018]



WkO 3wk Wk3 2wk Wk5

[ZA] Extrn

[GG]

Mice: ZA (once/wk @ 12-15µM/5mL) IP

GG (once/wk @ 5mM) drop @ socket

- ZA application inflamed soft tissues, cause bone defect by 80%
- GG sealed empty lacunes (extracted sockets) by 45%
- GG improved gum (vascularity, osteoblast lining, epithelial coverage) by 67%

### GG-Gold® Summaries

#### Take Home

- Building block & endogenous nutrient
- Synthesizes CoQ10 & MK4
- Promotes cardiovascular health
- Maintains muscle and bone strength
- Decreases with age and certain meds

#### Recommended Dosage (with meal)

- 150mg/day for most applications:
  - Bone and Oral health
  - CoQ10 & MK4 replenishment
  - Muscle tone and balance
- 300mg/day:
  - Testosterone increase
  - Statin & BisP medications
  - Myopathy & Sarcopenia

### Trial 1: GG and SAMS

# Effect of Annatto-Derived Geranylgeraniol (GG) on Statin-Associated Myopathy Study Outline:

- Double-blind, placebo-controlled, randomized intervention trial
- 12 week treatment with follow-ups at 3 and 6 months post-treatment
- 75 subjects
- Three groups: **150mg/day**, **300mg/day**, Placebo
- Endpoints
  - GG reduces muscle-related symptoms (survey, pain inventory questionnaire)
  - GG improves muscle performance (muscle pain scoring, physical endurance/strength tests)
  - GG reduces muscle damage (creatine kinase, atrogin-1, myostatin) and mitigates inflammation (CRP, IL-6)

### Trial 2: GG and Sexual Health

# Effect of Geranylgeraniol (GG) Supplementation on Sexual Health in Males and Females Study Outline:

- Unique dose escalation design
- 4 weeks on 150mg/day vs. placebo; additional 4 weeks on 300mg/day vs. placebo
- Total 70 patients (40 male, 30 female)
- Biomarkers studied:
  - Total, bioavailable & free testosterone
  - SHBG, estrogen, progesterone
  - Renal & hepatic panels (CBC & CMP)

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### DFH Products and Combos

- Annatto-E
- Annatto-GG
- Annatto-E GG
- CoQNol
- Resveratrol Supreme
- PerioPull
- Tri-K
- Primal Multi



# Thank You!

Book Signing and Literature at Booth

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